



服務收費

Fee & Charges

2021年9月20日生效

Effective from 20 September, 2021

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HONG KONG SECURITIES

Securities Trading Services

Item	Fees & Charges	Min	Max
Non-online Trading Brokerage Commission	Starting from 0.25%	Starting from HKD100	---
Online Trading Brokerage Commission	Starting from 0.15%	Starting from HKD50	---
SFC Transaction Levy	0.0027% of transaction amount	---	---
Investor Compensation Levy	Suspended	---	---
HKEX Trading Fee	0.005% of transaction amount	---	---
CCASS Stock Settlement Fee	0.002% of transaction amount	HKD3	HKD100
Trading Tariff	Free of charge	---	---
Stamp Duty	0.13% * (levied by the HKSAR Government)	HKD1	---

*Any amount below HKD1 will be counted as HKD1.
Effective from 1 August 2021

香港證券

證券交易服務

項目	收費	最低收費	最高收費
經紀交易佣金	0.25% 起	100 港元起	---
網上交易佣金	0.15% 起	50 港元起	---
證監會交易徵費	成交金額 0.0027%	---	---
投資者賠償徵費	豁免	---	---
交易所交易費	成交金額 0.005%	---	---
中央結算系統股份交易費	成交金額 0.002%	3 港元	100 港元
交易系統使用費	免費	---	---
政府印花稅	0.13% * (由香港特別行政區政府收取)	1 港元	---

*不足一元亦作一元計
由 2021 年 8 月 1 日生效

Hong Kong Over-the-Counter Trading Services

Item	Fees & Charges	Min	Max
Bonds Trading Commission	Starting from 0.35% Remittance Fee: USD30/ HKD250/ RMB250	---	---
Fund Trading Fee	Application: Starting from USD70 per transaction Redemption: Starting from USD70 per transaction Remittance Fee: USD30/ HKD250/ RMB250	---	---

Scrip Handling and Settlement-Related Services

Item	Fees & Charges	Min	Max
Dividend Collection Fee (Included OTC products)	0.5% of the dividend amount	HKD20	HKD20,000
Scrip Fee	HKD1.50 per board lot	---	---
Bonus Issue	HKD1.50 per board lot	---	HKD20,000
Corporate Action Fee	HKD1.50 per board lot	HKD100	HKD20,000
Rights & Warrants Exercise Fee	HKD1.50 per board lot	HKD100	HKD20,000
Cash Offer	HKD1.50 per board lot	HKD100	HKD20,000
Special / Open / Compulsory Offer	HKD1.50 per board lot	HKD100	HKD20,000

香港場外交易服務

項目	收費	最低收費	最高收費
債券交易佣金	0.35% 起 匯款費用: 30 美元/ 250 港元/ 人民幣 250 元	---	---
基金交易費用	申請:每宗交易 70 美元起 贖回:每宗交易 70 美元起 匯款費用: 30 美元/ 250 港元/ 人民幣 250 元	---	---

處理實物股票及交收服務

項目	收費	最低收費	最高收費
代收股息 (包括場外產品)	股息之 0.5%	20 港元	20,000 港元
股票過戶費	每手股份 1.5 港元	---	---
代收紅股	每手股份 1.5 港元	---	20,000 港元
代股東履行權責費用	每手股份 1.5 港元	100 港元	20,000 港元
認購權及認股權證行使費	每手股份 1.5 港元	100 港元	20,000 港元
現金收購	每手股份 1.5 港元	100 港元	20,000 港元
特別 / 公開 / 強制收購費	每手股份 1.5 港元	100 港元	20,000 港元

Nominee Services and Corporate Actions

Item	Fees & Charges	Min	Max
CCASS Stock Settlement Fee	0.002% of the transaction amount	HKD3	HKD100
Settlement Instruction or Investor Settlement Instruction for Share Deposit via CCASS	Free of charge	---	---
Settlement Instruction or Investor Settlement Instruction for Share Withdrawal via CCASS	0.01% of the stock value based on the last closing price	HKD50	HKD350
Physical Scrip Deposit	HKD5 stamp duty per transfer deed	---	---
Physical Scrip Withdrawal	HKD5 per board lot	HKD50 per stock	---
Transfer Deed Stamp Duty	HKD5 per Transfer Deed, levied by the HKSAR government	---	---
Compulsory Share Buy-back	HKD500 per request	---	---

代理人服務及企業行動

項目	收費	最低收費	最高收費
中央結算系統股份交收費	成交金額之 0.002%	3 港元	100 港元
股票經中央結算轉入	免費	---	---
股票經中央結算轉出	股票上日收市價值之 0.01%	50 港元	350 港元
股票實貨存入	轉手釐印費每張 5 港元	---	---
股票實貨提取	每手股票 5 港元	50 港元 (每隻股票計)	---
轉手紙釐印費	每張轉手紙 5 港元 (由香港特別行政區政府收取)	---	---
強制性補購股份	每次 500 港元	---	---

SHANGHAI-HONG KONG /SHENZHEN-HONG KONG STOCK CONNECT

Item	Fees & Charges CNY
Settlement Currency	CNY
Non-online Trading Brokerage Commission and Minimum Charge	Starting from 0.25% Minimum charge from CNY100
Online Trading Brokerage Commission and Minimum Charge#	Starting from 0.15% Minimum charge from CNY50
Handling Fee (SSE/SZSE)	0.00487% of the consideration of a transaction (per side)
Securities Management Fee (CSRC)	0.002% of the consideration of a transaction (per side)
Transfer Fees	0.002% of the consideration of each transaction per side payable under ChinaClear's Shanghai-Hong Kong /Shenzhen-Hong Kong Stock Connect Rules; and 0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC
Stamp Duty	0.1% of the consideration of a transaction on the seller (charged by the State Administration of Taxation)
Settlement Date	Securities Settlement: T+0 Money Settlement: T+1
Portfolio Fee	Daily stock portfolio value x 0.008% / 365 (Accrued daily and collected on a monthly basis) (charged by HKSCC)

Online Trading Brokerage Commission and Minimum Charge effective from 20 September, 2021

滬港通/深港通

項目	收費
結算貨幣	人民幣
經紀佣金及最低收費	0.25% 起 最低收費人民幣 100 元起
網上交易佣金及最低收費#	0.15% 起 最低收費人民幣 50 元起
上交所/深交所經手費	成交金額 0.00487% (每邊)
中證監證管費過戶費	成交金額 0.002% (每邊) 雙邊成交金額的 0.002% , 根據中國結算的滬港通/深港通規則支付; 及 雙邊成交金額的 0.002% , 根據中央結算系統一般規則支付, 作為香港結算提供滬股結算服務之收費
印花稅	成交金額 0.1% (只適用於賣盤成交) (由國家稅務總局收取)
交收日	股票: T+0 款項: T+1
證券組合收費	每日持股值 x 0.008% / 365 日 (以每日計算, 每月收取一次) (由香港中央結算有限公司收取)

網上交易佣金及最低收費由 2021 年 9 月 20 日生效。

Global Securities

Market	Currency	Commission (starting from)	Minimum Charge (starting from)	Clearing / Security Fee	Stamp Duty / VAT	Exchange Levy	Settlement	Other Fees (If Applicable)
USA (Offline)	USD	0.25%	USD 25 per order	SEC: 0.00221% (Sell only) Clearing Fee: USD 0.5 per trade FINRA Fees (TAF): \$0.000119 per share (Max \$5.95)	---	---	T+2	ADR pass-thru fees: \$0.01-\$0.03 per share Low value stock fee: \$0.001 per share Voluntary Corporate Action: \$50 per event Mandatory Corporate Action: \$30 per event Non-Transferable Securities Written off: \$5 per write off Remittance Fee #^ : USD 30
USA (Online)	USD	0.15%	USD 8 per order		-	-	T+2	Admin Fee: 0.002% Trading Fee: 0.05% Remittance Fee #^ : USD 30
Shanghai (B Shares)	USD	0.30%	40	---	0.10% (Sell Only)	0.026%	T+3	---
Shenzhen (B Shares)	HKD	0.30%	100	0.05% (Max. HKD500)	0.10% (Sell Only)	0.0321%	T+3	---
Malaysia	MYR	0.25%	50	0.03% (Max. MYR1,000)	0.1% (Max. MYR200)	---	Buy: T+2 Sell: T+1 (Stock) T+2 (Cash)	Remittance Fee #^ : USD 30
Singapore	SGD	0.25%	20	0.0325% (Max. SGD600)	---	---	T+2	SGX Trading Fee: 0.0075% SGX SI Fee: 0.35 (FLAT) Remittance Fee #^: SGD 45
Singapore	USD	0.25%	20	0.0325% (Max. USD482)	---	---	T+2	SGX Trading Fee: 0.0075% SGX SI Fee: 0.35 (FLAT) Remittance Fee #^: USD 30
Australia	AUD	0.50%	100	---	---	---	T+2	Annualized Custodian Fee*: 0.016% Remittance Fee #^: AUD 45
Japan(Offline)	JPY	0.35%	4,000	---	---	---	T+3	Annualized Custodian Fee*: 0.013%
Japan(Online)	JPY	0.20%	2,000	---	---	---	T+3	Remittance Fee #^: JPY 3500
Thailand	THB	0.40%	800	---	0.50% (Buy Only)	---	T+2	Annualized Custodian Fee* : 0.0064% ADR Fee : 1%

Market	Currency	Commission (starting from)	Minimum Charge (starting from)	Clearing / Security Fee	Stamp Duty / VAT	Exchange Levy	Settlement	Other Fees (If Applicable)
								PTM Fee : 1 Pound (Transactions over 9,999 GBP) Remittance Fee #^: USD 30
Taiwan	USD	0.25%	NTD 400	---	---	---	T+2	Annualized Custodian Fee* : 0.015% Remittance Fee #^ : USD 50

* Custodian fees incurs at month end and is calculated as the annualized custodian rate / 12 multiplied by the market value of the relevant security as at the last trade day of each calendar month.

Remittance fee effective from 1 June 2019.

^ During the period of 1 June 2019 to 30 Sept 2019, remittance fee for sell transaction of all the global securities and OTC products will be waived.

Remarks: Please refer to the relevant exchange for details of settlement operations. Fund available for withdrawal may be subjected to settlement operations of the relevant exchange and/or business partners from time to time.

環球證券

市場	貨幣	經紀備金 (起)	最低收費 (起)	結算費/證券費	印花稅/銷售稅	交易徵費	交收日	其他收費 (如適用)
美國(電話交易)	USD	0.25%	每單指令 25 美元	美國證監會收費: 0.00221% (賣出)	---	---	T+2	美國 ADR 託管費用: 每股\$0.01-\$0.03 低價值股票費用: 每股\$0.001
美國(電子交易)	USD	0.15%	每單指令 8 美元	結算費: 每宗 0.5 美元 交易活動費: 每股 \$0.000119 (最高\$5.95)	---	---	T+2	自願企業行動: 每宗\$50 強制性企業行動: 每宗\$30 註銷不可轉讓證券: 每宗\$5 匯款費用#^: 30 美元
上海 B 股	USD	0.30%	40	---	0.10% (只限沽出)	0.026%	T+3	行政費: 0.002% 交收費: 0.05% 匯款費用#^: 30 美元
深圳 B 股	HKD	0.30%	100	0.05% (最高收 500 港元)	0.10% (只限沽出)	0.0321%	T+3	---
馬來西亞	MYR	0.25%	50	0.03% (最高收 1,000 馬來西亞元)	0.10%* (最高收 200 馬來西亞元)	---	買入: T+2 沽出: T+1 (股票) T+2 (現金)	匯款費用#^: 30 美元
新加坡	SGD	0.25%	20	0.0325% (最高收 600 新加坡元)	---	---	T+2	SGX 行動費: 0.0075% SGX 交收指示費:

市場	貨幣	經紀備金 (起)	最低收費 (起)	結算費／證券費	印花稅／銷售稅	交易徵費	交收日	其他收費 (如適用)
新加坡	USD	0.25%	20	0.0325% (最高收 482 美元)	---	---	T+2	0.35 (統一收費) 匯款費用#^：45 新加坡元 SGX 行動費：0.0075% SGX 交收指示費： 0.35 (統一收費) 匯款費用#^：30 美元
澳洲	AUD	0.50%	100	---	---	---	T+2	年度存倉費*：0.016% 匯款費用#^：45 澳元
日本 (電話交易)	JPY	0.35%	4,000	---	---	---	T+3	年度存倉費*：0.013% 匯款費用#^：3500 日元
日本 (電子交易)	JPY	0.20%	2,000	---	---	---	T+3	
泰國	THB	0.40%	800	---	0.50% (只限買入)	---	T+2	年度存倉費*：0.0064% ADR 費用：1% 收購及合併費用：1 英鎊 (成交超過 9,999 英鎊) 匯款費用#^：30 美元
台灣	USD	0.25%	台幣 400	---	---	---	T+2	年度存倉費*：0.015% 匯款費用#^：50 美元

* 存倉費於每月底收取，計算方法為存倉費年率除 12 再乘以每個曆月最後一個交易日有關證券的市值計算。

匯款手續費自 2019 年 6 月 1 日起正式生效。

^ 在 2019 年 6 月 1 日至 2019 年 9 月 30 日期間，所有環球證券及場外產品沽出交易的匯款費用會獲豁免。

註：請參閱相關交易所的結算交收詳情。可提取的資金可能會受到相關交易所及／或合作伙伴的當期時結算交收運作所影響。

Hong Kong Futures & Options

Hong Kong Futures & Options (HKEX)	Online Trading HKD/contract (starting from) Day Trade	Online Trading HKD/contract (starting from) Over Night	Non-online Trading HKD/contract (starting from) Day Trade	Non-online Trading HKD/contract (starting from) Over Night	SFC Levy (HKD)	Exchange Fee (HKD)	Exchange Cash Settlement Fees * (HKD)
Futures#							
HSI	15	30	60	100	0.54	10	10
MHI	8	16	12	20	0.1	3.5	3.5
HHI	15	30	60	100	0.54	3.5	3.5
MCH	8	16	12	20	0.1	2	2
HTI Index	15	30	60	100	0.54	10	10
HSI Dividend	8	16	20	40	0.54	3	3
HSCEI Dividend	8	16	20	40	0.54	1.5	1.5
HSI Volatility Index	8	16	20	40	0.54	10	10
CES China 120 Index	15	30	60	100	0.54	10	10
IBOVESPA Index	8	16	20	40	0.54	10	10
MICEX Index	8	16	20	40	0.54	5	5
Sensex Index	8	16	20	40	0.54	5	5
FTSE / JSE Top40 Index	8	16	20	40	0.54	5	5
Stock Futures	8	16	20	40	0.1	Nominal Value Per Contract (in HK\$)	New Trading Fee Per Contract (in HK\$)
						> \$25,000	\$3.00
						> \$10,000	\$1.00
						- \$25,000	
						≤ \$10,000	\$0.50

Options#	HSI Options	15	15	60	60	0.54	10	10
	MHI Options	8	-	12	-	0.1	2	2
	HHI Options	15	-	60	-	0.54	3.5	3.5
	MCH Options	8	-	12	-	0.54	1	1
	HTI Index Options	15	15	60	60	0.54	10	10

* Collected on the Final Settlement day for unsettled contract

All charges are premised upon each contract party

香港期貨及期權

香港期貨及期權		網上交易 (港元起/張) 即日	網上交易 (港元起/張) 過夜	經紀交易 (港元起/張) 即日	經紀交易 (港元起/張) 過夜	證監會徵費 (港元)	交易所費用 (港元)	交易所 現金結算* (港元)
期貨#	恆生指數期貨	15	30	60	100	0.54	10	10
	小型恆生 指數期貨	8	16	12	20	0.1	3.5	3.5
	H股指數期貨	15	30	60	100	0.54	3.5	3.5
	小型H股 指數期貨	8	16	12	20	0.1	2	2
	恆生科技 指數期貨	15	30	60	100	0.54	10	10
	恒指股息期貨	8	16	20	40	0.54	3	3
	恆生國企 股息期貨	8	16	20	40	0.54	1.5	1.5
	恒指波幅 指數期貨	8	16	20	40	0.54	10	10
	中華120 指數期貨	15	30	60	100	0.54	10	10
	IBOVESPA 指數期貨	8	16	20	40	0.54	10	10
	MICEX 指數期貨	8	16	20	40	0.54	5	5

	Sensex 指數期貨	8	16	20	40	0.54		5	5
	FTSE / JSE Top40 指數期貨	8	16	20	40	0.54		5	5
	股票期貨	8	16	20	40	0.1	合約名義價值 (港元\$)	新交易收費 (每張合約港 元\$)	2
							> \$25,000	\$3.00	
							> \$10,000 - \$25,000	\$1.00	
							≤\$10,000	\$0.50	
期權#	恆生指數期權	15	15	60	60	0.54		10	10
	小型恆生 指數期權	8	-	12	-	0.1		2	2
	H股指數期權	15	-	60	-	0.54		3.5	3.5
	小型H股 指數期權	8	-	12	-	0.54		1	1
	恆生科技 指數期權	15	15	60	60	0.54		10	10

* 於最後結算日就未交收合約收取

所有收費是以每張合約單邊計

NON HONG KONG OTC PRODUCTS

Non Hong Kong Over-the-Counter Products Trading Services

Item	Fees & Charges	Min	Max
Bonds Trading Commissions	Starting from 0.35% Remittance Fee: USD30/ HKD250/ RMB250	---	---
Funds Trading Fee	Application: Starting from 1% of Subscription Amount per transaction Redemption: Starting from 1% of Redemption Amount per transaction Remittance Fee: USD30/ HKD250/ RMB250	---	---

Other

Item	Fees & Charges	Min	Max
Cash Entitlement Handling Fee#	0.5% of the cash entitlement amount	USD3/ HKD20/ RMB20 or equivalent HKD in other currencies	HKD20,000 or equivalent in other currencies
Custodian Fee#	Fund - 0.5% p.a* Bond - 0.5% p.a*	---	---
Transfer out Funds and Bonds	Negotiable	---	---

* The custodian fee is calculated quarterly based on the assets under management (AUM) in the account (in February, May, August, November) and is charged in Hong Kong dollars in March, June, September and December of each year.

Effective from 1 August 2019

非香港場外交易產品

非香港場外交易產品交易服務

項目	收費	最低收費	最高收費
債券交易佣金	0.35% 起 匯款費用: 30 美元/ 250 港元/ 人民幣 250 元	---	---
基金交易費用	申請:每宗交易為認購金額的 1% 起 贖回:每宗交易為贖回金額的 1% 起 匯款費用: 30 美元/ 250 港元/ 人民幣 250 元	---	---

其他

項目	收費	最低收費	最高收費
現金收益手續費 #	現金收益金額之 0.5%	3 美元/ 20 港元/ 人民幣 20 元 或 相等幣值的其他 貨幣	20,000 港元或相 等幣值的其他貨 幣
託管費#	基金 - 每年為 0.5%* 債券 - 每年為 0.5%*	---	---
轉出基金及債券	面議	---	---

* 託管費於每季度根據帳戶內的資產管理總額(按二月、五月、八月、十一月)計算並以港元分別於每年的三月、六月、九月、十二月從帳戶中扣除

由 2019 年 8 月 1 日起生效



[End of Fee & Charges]
[服務收費全文結束]