

香港證券服務收費表

證券交易服務	
經紀佣金	非網上交易戶口 - 成交金額之 0.25%起 (最低收費為 HK\$100)
	網上交易戶口 - 成交金額之 0.15%起 (最低收費為 HK\$50)
交易徵費	成交金額之 0.00285% (包含 0.0027%證監交易徵費及 0.00015%財匯局徵費; 相關徵費分別計算至最接近的仙位數)
交易費	成交金額之 0.00565%
中央結算系統股份交收費	成交金額之 0.002% (代香港結算收取) (最低收費為 HK\$3, 最高收費為 HK\$300)
印花稅	成交金額之 0.13% (不足一元亦作一元計)
投資者賠償徵費	豁免

處理實物股票及交收的服務	
股票經中央結算存入	免費
股票經中央結算提取	股票上日收市價值之 0.01% (最低收費為 HK\$50)
股票實貨存入	存倉費免費 轉手紙釐印費每張 HK\$5 (只適用於私人名義股票, 代政府收取)
股票實貨提取	每手股票 HK\$5 (最低收費為每隻股票 HK\$50)
強制性補購股份	1) 每次收費為 HK\$1,000 + 2) 中央結算系統股份交收費

賬戶服務	
結單行政費	郵寄結單: 每年收費為 HK\$120 電子結單: 免費
重印戶口結單副本	每頁 HK\$50 每次最低收費為 HK\$150
戶口往來記錄副本	每一月份收費為 HK\$30
代確認存款人資料	每次收費為 HK\$200
核數確認書	每次收費為 HK\$500

代理人服務及企業行動	
登記過戶費	每手 HK\$1.5 (代香港結算收取)
代收現金股息/以股代息	總現金股息的 0.5% (最低收費為 HK\$20，最高收費為 HK\$20,000)
代收紅股/紅利認股證	每手 HK\$1.5
代客行使供股權證	每手 HK\$1.5 (最低收費為 HK\$100)
代客行使認股證/備兌認股證	每手 HK\$1.5 (最低收費為 HK\$100)
自願接受收購	1) 每手 HK\$1.5 (最低收費為 HK\$100) + 2) 政府重價稅成交金額之 0.13% (不足一元亦作一元計)
代追收股息	1) 每次收費為 HK\$2,000 + 2) 中央結算系統股份交收費

借貸及其他服務	
逾期交收利率	現金戶口 - 港元最優惠利率 [^] + 10% 保證金戶口 - 港元最優惠利率 [^] + 3%
孖展融資年利率 (只適用於保證金戶口)	港元最優惠利率 [^] - 1%至港元最優惠利率 [^] + 2.75%，根據參與之孖展融資計劃而釐定
退票費用	每張退票收費為 HK\$150 及相關銀行利息
匯款	本地銀行匯款 (CHATS) 每次收費為 HK\$150 電匯 (T.T) 每次收費為 HK\$200
認購新股手續費	每次收費為 HK\$100

[^]根據星展銀行(香港)有限公司釐訂之港元最優惠利率。
茂宸證券有限公司保留不時調整費用標準並不提前通知的權利。

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Fees & Charges For Hong Kong Stocks

TRADE-RELATED SERVICES	
Brokerage Commission	Non internet trading channel - 0.25% of Transaction Amount (Min. HK\$100)
	Online internet trading channel - 0.15% of Transaction Amount (Min. HK\$50)
Transaction Levy	0.00285% of Transaction Amount (Include 0.0027% SFC Transaction Levy and 0.00015% FRC Transaction Levy; those Levy fees rounded to the nearest cent and calculated separately)
Trading Fee	0.00565% of Transaction Amount
CCASS Fee	0.002% of Transaction Amount (Collected by HK Clearing) (Min. HK\$3, Max. HK\$300)
Stamp Duty	0.13% of Transaction Amount (Round up to the nearest dollar)
Investor Compensation Levy	Waived

SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES	
Settlement Instruction for Stock Deposit via CCASS	Free
Settlement Instruction for Stock Withdrawal via CCASS	0.01% of Stock Value based on the previous closing price (Min. HK\$50)
Physical Scrip Deposit	Deposition Free HK\$5/Pcs (For beneficial owner only, collected by HK Revenue Department)
Physical Scrip Withdrawal	HK\$5/Lot (Min. HK\$50.00 per stock)
Compulsory Share Buy-back	1) HK\$1,000 per request + 2) CCASS Fee

ACCOUNT MAINTENANCE	
Statement Administrative Fee	Paper statement : HK\$120 per year E-statement : Free
Duplicate Copy of Statement	HK\$50 per page Min. HK\$150 per request
Copy of Account History Movement	HK\$30 per copy per month
Confirmation of unknown deposit	HK\$200 per request
Audit Confirmation	HK\$500 per request

NOMINEE SERVICES AND CORPORATE ACTIONS

Scrip Fee	HK\$1.5/ Lot (Collected by HK Clearing)
Dividend Collection / Scrip Dividend Collection	0.5% of Total Div. Amount (Min. HK\$20, Max. HK\$20,000)
Bonus Collection/ Bonus Warrant Collection	HK\$15/ Lot
Rights Subscription	HK\$15/Lot (Min. HK\$100)
Warrant / Covered Warrant Conversion	HK\$15/Lot (Min. HK\$100)
Accept Cash Offer	1) HK\$15/Lot (Min. HK\$100) + 2) Stamp Duty-0.13% of Transaction Amount (Round up to the nearest dollar)
Dividend Claim	1) HK\$2,000 per request + 2) CCASS Fee

FINANCING AND OTHER SERVICES

Interest for Late Payment	Custodian Account - HKD Prime Rate ^ + 10% p.a. Margin Account - HKD Prime Rate ^ + 3% p.a.
Margin Finance Annual Interest Rate (Applicable to Margin Account only)	From HKD Prime Rate ^ - 1% p.a. to HKD Prime Rate ^ + 2.75% p.a., depending on the type of margin share package applied for
Returned Cheque	HK\$150 per returned cheque and related bank interest
Remittance	CHATS – HK\$150 per request Telegraphic Transfer – HK\$200 per request
IPO Application	HK\$100 per application

^ Refer to HKD Prime Rate quoted by DBS Bank (Hong Kong) Ltd..

The above charges may be changed from time to time at the absolute discretion of Mason Securities Limited without prior notice.

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