



服務收費

Fee & Charges

2021年8月1日生效

Effective from August 1, 2021

## Table of Contents

HONG KONG SECURITIES 香港證券.....	3
Securities Trading Services 證券交易服務.....	3
Hong Kong Over-the-Counter Trading Services 香港場外交易服務.....	4
Scrip Handling and Settlement-Related Services 處理實物股票及交收的服務.....	4
Nominee Services and Corporate Actions 代理人服務及企業行動.....	5
SHANGHAI-HONG KONG /SHENZHEN-HONG KONG STOCK CONNECT 滬港通/深港通.....	6
Global Securities 環球證券.....	7
Hong Kong Futures & Options 香港期貨及期權.....	10
NON HONG KONG OTC PRODUCTS 非香港場外交易產品.....	13
Non Hong Kong Over-the-Counter Products Trading Services 非香港場外交易產品交易服務.....	13
Other 其他.....	13

# HONG KONG SECURITIES

## Securities Trading Services

Item	Fees & Charges	Min	Max
<b>Non-online Trading Brokerage Commission</b>	Starting from 0.25%	Starting from HKD100	---
<b>Online Trading Brokerage Commission</b>	Starting from 0.15%	Starting from HKD50	---
<b>SFC Transaction Levy</b>	0.0027% of transaction amount	---	---
<b>Investor Compensation Levy</b>	Suspended	---	---
<b>HKEX Trading Fee</b>	0.005% of transaction amount	---	---
<b>CCASS Stock Settlement Fee</b>	0.002% of transaction amount	HKD3	HKD100
<b>Trading Tariff Stamp Duty</b>	Free of charge 0.13% * (levied by the HKSAR Government)	---	---

\*Any amount below HKD1 will be counted as HKD1.  
Effective from 1 August 2021

# 香港證券

## 證券交易服務

項目	收費	最低收費	最高收費
經紀交易佣金	0.25% 起	100 港元 起	---
網上交易佣金	0.15% 起	50 港元 起	---
證監會交易徵費	成交金額 0.0027%	---	---
投資者賠償徵費	豁免	---	---
交易所交易費	成交金額 0.005%	---	---
中央結算系統股份交易費	成交金額 0.002%	3 港元	100 港元
交易系統使用費	免費	---	---
政府印花稅	0.13% * (由香港特別行政區政府收取)	1 港元	---

\*不足一元亦作一元計  
由 2021 年 8 月 1 日生效

## Hong Kong Over-the-Counter Trading Services

Item	Fees & Charges	Min	Max
<b>Bonds Trading Commission</b>	Starting from 0.35% Remittance Fee: USD30/ HKD250/ RMB250	---	---
<b>Starting from 0.35%</b>	Application: Starting from USD70 per transaction Redemption: Starting from USD70 per transaction Remittance Fee: USD30/ HKD250/ RMB250	---	---

## Scrip Handling and Settlement-Related Services

Item	Fees & Charges	Min	Max
<b>Dividend Collection Fee (Included OTC products)</b>	0.5% of the dividend amount	HKD20	HKD20,000
<b>Scrip Fee</b>	HKD1.50 per board lot	---	---
<b>Bonus Issue</b>	HKD1.50 per board lot	---	HKD20,000
<b>Corporate Action Fee</b>	HKD1.50 per board lot	HKD100	HKD20,000
<b>Rights &amp; Warrants Exercise Fee</b>	HKD1.50 per board lot	HKD100	HKD20,000
<b>Cash Offer</b>	HKD1.50 per board lot	HKD100	HKD20,000
<b>Special / Open / Compulsory Offer</b>	HKD1.50 per board lot	HKD100	HKD20,000

## 香港場外交易服務

項目	收費	最低收費	最高收費
<b>債券交易佣金</b>	0.35% 起 匯款費用: 30 美金/ 港幣 250/ 人民幣 250	---	---
<b>基金交易費用</b>	申請:每宗交易 70 美元起 贖回:每宗交易 70 美元起 匯款費用: 30 美金/ 港幣 250/ 人民幣 250	---	---

## 代理人服務及企業行動

項目	收費	最低收費	最高收費
<b>代收股息 (包括場外產品)</b>	股息之 0.5%	20 港元	20,000 港元
<b>股票過戶費</b>	每手股份 1.5 港元	---	---
<b>代收紅股</b>	每手股份 1.5 港元	---	20,000 港元
<b>代股東履行權責費用</b>	每手股份 1.5 港元	100 港元	20,000 港元
<b>認購權及認股權證行使費</b>	每手股份 1.5 港元	100 港元	20,000 港元
<b>現金收購</b>	每手股份 1.5 港元	100 港元	20,000 港元
<b>特別 / 公開 / 有限制收購費</b>	每手股份 1.5 港元	100 港元	20,000 港元

## Nominee Services and Corporate Actions

Item	Fees & Charges	Min	Max
<b>CCASS Stock Settlement Fee</b>	0.002% of the transaction amount	HKD3	HKD100
<b>Settlement Instruction or Investor Settlement Instruction for Share Deposit via CCASS</b>	Free of charge	---	---
<b>Settlement Instruction or Investor Settlement Instruction for Share Withdrawal via CCASS</b>	0.01% of the stock value based on the last closing price	HKD50	HKD350
<b>Physical Scrip Deposit</b>	HKD5 stamp duty per transfer deed	---	---
<b>Physical Scrip Withdrawal</b>	HKD5 per board lot	HKD50 per stock	---
<b>Transfer Deed Stamp Duty</b>	HKD5 per Transfer Deed, levied by the HKSAR government	---	---
<b>Compulsory Share Buy-back</b>	HKD500 per request	---	---

## 處理實物股票及交收的服務

項目	收費	最低收費	最高收費
<b>中央結算系統股份交收費</b>	成交金額之 0.002%	3 港元	100 港元
<b>股票經中央結算轉入</b>	免費	---	---
<b>股票經中央結算轉出</b>	股票上日收市價值之 0.01%	50 港元	350 港元
<b>股票實貨存入</b>	轉手厘印費每張港幣 5 元	---	---
<b>股票實貨提取</b>	每手股票 5 港元	50 港元 (每隻股票計)	---
<b>轉手紙釐印費</b>	每張轉手紙 5 港元 (由香港特別行政區政府收取)	---	---
<b>強制性補購股份</b>	每次 500 港元	---	---

# SHANGHAI-HONG KONG /SHENZHEN-HONG KONG STOCK CONNECT

Item	Fees & Charges
Settlement Currency	CNY
Commission Fee	Starting from 0.25%
Minimum Charge	Starting from CNY100
Handling Fee (SSE/SZSE)	0.00487% of the consideration of a transaction (per side)
Securities Management Fee (CSRC)	0.002% of the consideration of a transaction (per side)
Transfer Fees	0.002% of the consideration of each transaction per side payable under ChinaClear's Shanghai-Hong Kong /Shenzhen-Hong Kong Stock Connect Rules; and  0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC
Stamp Duty	0.1% of the consideration of a transaction on the seller (charged by the State Administration of Taxation)
Settlement Date	Securities Settlement: T+0 Money Settlement: T+1
Portfolio Fee	Daily stock portfolio value x 0.008% / 365 (Accrued daily and collected on a monthly basis) (charged by HKSCC)

## 滬港通/深港通

項目	收費
結算貨幣	人民幣
經紀佣金	0.25% 起
最低收費	100 人民幣 起
上交所/深交所經手費	成交金額 0.00487% (每邊)
中證監證管費	成交金額 0.002% (每邊)
過戶費	雙邊成交金額的 0.002%，根據中國結算的滬港通/深港通規則支付；及  雙邊成交金額的 0.002%，根據中央結算系統一般規則支付，作為香港結算提供滬股結算服務之收費
印花稅	成交金額 0.1% (只適用於賣盤成交) (由國家稅務總局收取)
交收日	股票: T+0 款項: T+1
證券組合收費	每日持股值 x 0.008% / 365 日 (以每日計算，每月收取一次) (由香港中央結算有限公司收取)

# Global Securities

Market	Currency	Commission (starting from)	Minimum Charge (starting from)	Clearing / Security Fee	Stamp Duty / VAT	Exchange Levy	Settlement	Other Fees (If Applicable)
USA (Offline)	USD	0.25%	USD 25 per order	SEC: 0.00221% (Sell only) Clearing Fee: USD 0.5 per trade FINRA Fees (TAF): \$0.000119 per share (Max \$5.95)	---	---	T+2	ADR pass-thru fees: \$0.01-\$0.03 per share Low value stock fee: \$0.001 per share Voluntary Corporate Action: \$50 per event Mandatory Corporate Action: \$30 per event Non-Transferable Securities Written off: \$5 per write off Remittance Fee #^ : USD 30
USA (Online)	USD	0.15%	USD 8 per order		-	-	T+2	
Shanghai (B Shares)	USD	0.30%	40	---	0.10% (Sell Only)	0.026%	T+3	Admin Fee: 0.002% Trading Fee: 0.05% Remittance Fee #^ : USD 30
Shenzhen (B Shares)	HKD	0.30%	100	0.05% (Max. HKD500)	0.10% (Sell Only)	0.0321%	T+3	---
Malaysia	MYR	0.25%	50	0.03% (Max. MYR1,000)	0.1% (Max. MYR200)	---	Buy: T+2 Sell: T+1 (Stock) T+2 (Cash)	Remittance Fee #^ : USD 30
Singapore	SGD	0.25%	20	0.0325% (Max. SGD600)	---	---	T+2	SGX Trading Fee: 0.0075% SGX SI Fee: 0.35 (FLAT) Remittance Fee #^: SGD 45
Singapore	USD	0.25%	20	0.0325% (Max. USD482)	---	---	T+2	SGX Trading Fee: 0.0075% SGX SI Fee: 0.35 (FLAT) Remittance Fee #^: USD 30
Australia	AUD	0.50%	100	---	---	---	T+2	Annualized Custodian Fee*: 0.016% Remittance Fee #^: AUD 45
Japan (Offline)	JPY	0.35%	4,000	---	---	---	T+3	Annualized Custodian Fee*: 0.013%
Japan (Online)	JPY	0.20%	2,000	---	---	---	T+3	Remittance Fee #^: JPY 3500
Thailand	THB	0.40%	800	---	0.50% (Buy Only)	---	T+2	Annualized Custodian Fee* : 0.0064% ADR Fee : 1%

Market	Currency	Commission (starting from)	Minimum Charge (starting from)	Clearing / Security Fee	Stamp Duty / VAT	Exchange Levy	Settlement	Other Fees (If Applicable)
								PTM Fee : 1 Pound (Transactions over 9,999 GBP) Remittance Fee #^: USD 30
Taiwan	USD	0.25%	NTD 400	---	---	---	T+2	Annualized Custodian Fee* : 0.015% Remittance Fee #^ : USD 50

\* Custodian fees incurs at month end and is calculated as the annualized custodian rate / 12 multiplied by the market value of the relevant security as at the last trade day of each calendar month.

# Remittance fee effective from 1 June 2019.

^ During the period of 1st June 2019 to 30th Sept 2019, remittance fee for sell transaction of all the global securities and OTC products will be waived.

Remarks: Please refer to the relevant exchange for details of settlement operations. Fund available for withdrawal may be subjected to settlement operations of the relevant exchange and/or business partners from time to time.

## 環球證券

市場	貨幣	經紀備金 (起)	最低收費 (起)	結算費/證券費	印花稅/銷售稅	交易徵費	交收日	其他收費 (如適用)
美國(電話交易)	USD	0.25%	每單指令 25 美元	美國證監會收費: 0.00221% (賣出)	---	---	T+2	美國 ADR 託管費用: 每股\$0.01-\$0.03
美國(電子交易)	USD	0.15%	每單指令 8 美元	結算費: 每宗 0.5 美元 交易活動費: 每股 \$0.000119 (最高\$5.95)	---	---	T+2	低價值股票費用: 每股\$0.001 自願企業行動: 每宗\$50 強制性企業行動: 每宗\$30 註銷不可轉讓證券: 每宗\$5 匯款費用#^: 30 美金
上海 B 股	USD	0.30%	40	---	0.10% (只限沽出)	0.026%	T+3	行政費: 0.002% 交收費: 0.05% 匯款費用#^: 30 美金
深圳 B 股	HKD	0.30%	100	0.05% (最高收 500 港元)	0.10% (只限沽出)	0.0321%	T+3	---
馬來西亞	MYR	0.25%	50	0.03% (最高收 1,000 馬來西亞元)	0.10%* (最高收 200 馬來西亞元)	---	買入: T+2 沽出: T+1 (股票) T+2 (現金)	匯款費用#^: 30 美金



市場	貨幣	經紀 佣金 (起)	最低收費 (起)	結算費／證券費	印花稅／銷售稅	交易徵費	交收日	其他收費(如適用)
新加坡	SGD	0.25%	20	0.0325% (最高收 600 新加坡元)	---	---	T+2	SGX 行動費：0.0075% SGX 交收指示費： 0.35 (統一收費) 匯款費用#^：45 新加坡元
新加坡	USD	0.25%	20	0.0325% (最高收 482 美元)	---	---	T+2	SGX 行動費：0.0075% SGX 交收指示費： 0.35 (統一收費) 匯款費用#^：30 美元
澳洲	AUD	0.50%	100	---	---	---	T+2	年度存倉費*：0.016% 匯款費用#^：45 澳元
日本(電話 交易)	JPY	0.35%	4,000	---	---	---	T+3	年度存倉費*：0.013% 匯款費用#^：3500 日元
日本(電子 交易)	JPY	0.20%	2,000	---	---	---	T+3	
泰國	THB	0.40%	800	---	0.50% (只限買入)	---	T+2	年度存倉費*：0.0064% ADR 費用：1% 收購及合併費用：1 英鎊 (成交超 過 9,999 英鎊) 匯款費用#^：30 美金
台灣	USD	0.25%	台幣 400	---	---	---	T+2	年度存倉費*：0.015% 匯款費用#^：50 美金

\* 存倉費於每月底收取，計算方法為存倉費年率除 12 再乘以每個曆月最後一個交易日有關證券的市值計算。

# 匯款手續費自 2019 年 6 月 1 日起正式生效。

^ 在 2019 年 6 月 1 日至 2019 年 9 月 30 日期間，所有環球證券及場外產品沽出交易的匯款費用會獲豁免。

註：請參閱相關交易所的結算交收詳情。可提取的資金可能會受到相關交易所及／或合作伙伴的當期時結算交收運作所影響。

# Hong Kong Futures & Options

Hong Kong Futures & Options (HKEX)	Online Trading HKD/contract (starting from) Day Trade	Online Trading HKD/contract (starting from) Over Night	Non-online Trading HKD/contract (starting from) Day Trade	Non-online Trading HKD/contract (starting from) Over Night	SFC Levy (HKD)	Exchange Fee (HKD)	Exchange Cash Settlement Fees * (HKD)
<b>Futures#</b>							
HSI	15	30	60	100	0.54	10	10
MHI	8	16	12	20	0.1	3.5	3.5
HHI	15	30	60	100	0.54	3.5	3.5
MCH	8	16	12	20	0.1	2	2
HTI Index	15	30	60	100	0.54	10	10
HSI Dividend	8	16	20	40	0.54	3	3
HSCEI Dividend	8	16	20	40	0.54	1.5	1.5
HSI Volatility Index	8	16	20	40	0.54	10	10
CES China 120 Index	15	30	60	100	0.54	10	10
IBOVESPA Index	8	16	20	40	0.54	10	10
MICEX Index	8	16	20	40	0.54	5	5
Sensex Index	8	16	20	40	0.54	5	5
FTSE / JSE Top40 Index	8	16	20	40	0.54	5	5
Stock Futures	8	16	20	40	0.1	<b>Nominal Value Per Contract (in HK\$)</b>	<b>New Trading Fee Per Contract (in HK\$)</b>
						> \$25,000	\$3.00
						> \$10,000	\$1.00
						- \$25,000	
						≤ \$10,000	\$0.50

Options#	HSI Options	15	15	60	60	0.54	10	10
	MHI Options	8	-	12	-	0.1	2	2
	HHI Options	15	-	60	-	0.54	3.5	3.5
	MCH Options	8	-	12	-	0.54	1	1
	HTI Index Options	15	15	60	60	0.54	10	10

\* Collected on the Final Settlement day for unsettled contract

# All charges are premised upon each contract party

## 香港期貨及期權

香港期貨及期權		網上交易 (港元起/張) 即日	網上交易 (港元起/張) 過夜	經紀交易 (港元起/張) 即日	經紀交易 (港元起/張) 過夜	證監會徵費 (港元)	交易所費用 (港元)	交易所 現金結算* (港元)
期貨#	恒生指數期貨	15	30	60	100	0.54	10	10
	小型恒生 指數期貨	8	16	12	20	0.1	3.5	3.5
	H股指數期貨	15	30	60	100	0.54	3.5	3.5
	小型H股 指數期貨	8	16	12	20	0.1	2	2
	恒生科技指數 期貨	15	30	60	100	0.54	10	10
	恒指股息期貨	8	16	20	40	0.54	3	3
	恒生國企 股息期貨	8	16	20	40	0.54	1.5	1.5
	恒指波幅 指數期貨	8	16	20	40	0.54	10	10
	中華120 指數期貨	15	30	60	100	0.54	10	10
	IBOVESPA 指數期貨	8	16	20	40	0.54	10	10
	MICEX 指數期 貨	8	16	20	40	0.54	5	5

	Sensex 指數期貨	8	16	20	40	0.54	5	5	
	FTSE / JSE Top40 指數期貨	8	16	20	40	0.54	5	5	
	股票期貨	8	16	20	40	0.1	合約名義價值 (港幣\$)	新交易收費 (每張合約港幣\$)	2
							> \$25,000	\$3.00	
							> \$10,000 - \$25,000	\$1.00	
							≤\$10,000	\$0.50	
<b>期權#</b>	恆生指數期權	15	15	60	60	0.54	10	10	
	小型恆生 指數期權	8	-	12	-	0.1	2	2	
	H 股指數期權	15	-	60	-	0.54	3.5	3.5	
	小型 H 股指數 期權	8	-	12	-	0.54	1	1	
	恆生科技指數 期權	15	15	60	60	0.54	10	10	

\*於最後結算日就未交收合約收取

\*所有收費是以每張合約單邊計

# NON HONG KONG OTC PRODUCTS

## Non Hong Kong Over-the-Counter Products Trading Services

Item	Fees & Charges	Min	Max
<b>Bonds Trading Commissions</b>	Starting from 0.35% Remittance Fee: USD30/ HKD250/ RMB250	---	---
<b>Funds Trading Fee</b>	Application: Starting from 1% of Subscription Amount per transaction Redemption: Starting from 1% of Redemption Amount per transaction Remittance Fee: USD30/ HKD250/ RMB250	---	---

## Other

Item	Fees & Charges	Min	Max
<b>Cash Entitlement Handling Fee#</b>	0.5% of the cash entitlement amount	USD3/ HKD20/ RMB20 or equivalent HKD in other currencies	HKD20,000 or equivalent in other currencies
<b>Custodian Fee#</b>	Fund - 0.5% p.a* Bond - 0.5% p.a*	---	---
<b>Transfer out Funds and Bonds</b>	Negotiable	---	---

\*The custodian fee is calculated quarterly based on the assets under management (AUM) in the account (in February, May, August,

November) and is charged in Hong Kong dollars in March, June, September and December of each year.

#Effective from 1 August 2019

## 非香港場外交易產品 非香港場外交易產品交易服務

項目	收費	最低收費	最高收費
<b>債券交易佣金</b>	0.35% 起 匯款費用: 30 美金/ 港幣 250/ 人民幣 250	---	---
<b>基金交易費用</b>	申請:每宗交易為認購金額的 1% 起 贖回:每宗交易為贖回金額的 1% 起 匯款費用: 30 美金/ 港幣 250/ 人民幣 250	---	---

## 其他

項目	收費	最低收費	最高收費
<b>現金收益手續費 #</b>	現金收益金額之 0.5%	3 美金/ 港幣 20/ 人民幣 20 或相 等港幣幣值的其 他貨幣	港幣 20,000 或相 等幣值的其他貨 幣
<b>託管費#</b>	基金 - 每年為 0.5%* 債券 - 每年為 0.5%*	---	---
<b>轉出基金及債券</b>	面議	---	---

\*託管費於每季度根據帳戶內的資產管理總額(按二月、五月、八月、十一月)計算並以港元分別於每年的三月、六月、九月、十二月從帳戶中扣除

#由 2019 年 8 月 1 日起生效

The background of the page is a blue gradient that starts as a very light blue on the left and gradually becomes a darker blue towards the right. The gradient is composed of several overlapping triangular shapes that create a sense of depth and movement.

[ End of Fee & Charges ]  
[ 服務收費全文結束 ]